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PTO/SB/08A (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 2

## Complete If Known

|                        |                       |
|------------------------|-----------------------|
| Application Number     | Unassigned            |
| Filing Date            | June 23, 2005         |
| First Named Inventor   | Steffen GOLETZ et al. |
| Group Art Unit         | Unassigned            |
| Examiner Name          | Unassigned            |
| Attorney Docket Number | GULDE-63              |

## U.S. PATENT DOCUMENTS

| Examiner<br>Initials * | Cite No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of Cited Document<br>MM-DD-YYYY |
|------------------------|-----------------------|----------------------|--------------------------------------|--|---|
|                        |                       | Number               | Kind Code <sup>2</sup><br>(if known) |  |   |
| /AMG/                  | 1                     | 2002132771           |                                      |  | September 19, 2002                                  |
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## FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials * | Cite No. <sup>1</sup> | Foreign Patent Document |                     |                                      | Name of Patentee or Applicant of<br>Cited Document | Date of Publication of Cited<br>Document MM-DD-YYYY | Pages, Columns,<br>Lines, Where<br>Relevant Passages<br>or Relevant Figures<br>Appear | T <sup>3</sup> |
|------------------------|-----------------------|-------------------------|---------------------|--------------------------------------|--|---|---|----------------|
|                        |                       | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |  |   |   |                |
| /AMG/                  | 2                     | WO                      | 0244217             |                                      | LO BENNY KWAN<br>CHING                             | June 6, 2002  |   |                |
| /AMG/                  | 3                     | WO                      | 0112217             |                                      | ALTAREX INC.                                       | February 22, 2001                                   |   | Equv to cite 1 |
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Examiner  
Signature

/Anne Gussow/

Date  
Considered

06/12/2007

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. Number refers to English language corresponding family member.

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JC09 Rec'd PCT/PTO 23 JUN 2009

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|   |   |    |   | Application Number       | Unassigned            |
|   |   |    |   | Filing Date              | June 23, 2005         |
|   |   |    |   | First Named Inventor     | Steffen GOLETZ et al. |
|   |   |    |   | Group Art Unit           | Unassigned            |
|   |   |    |   | Examiner Name            | Unassigned            |
|   |   |    |   | Attorney Docket Number   | GULDE-63              |
| Sheet   | 2 | of | 2 |                          |                       |

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|--------------------|---------------|-----------------|------------|
| Examiner Signature | /Anne Gussow/ | Date Considered | 06/12/2007 |
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| Sheet | 1 | of | 3 |
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**Complete if Known**

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|------------------------|-----------------------|
| Application Number     | 10/540,479            |
| Filing Date            | June 23, 2005         |
| First Named Inventor   | Steffen GOLETZ et al. |
| Group Art Unit         | 1645                  |
| Examiner Name          | Unknown               |
| Attorney Docket Number | GULDE-0063            |

## U.S. PATENT DOCUMENTS

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Sheet 2 of 3

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| Application Number     | 10/540,479            |
| Filing Date            | June 23, 2005         |
| First Named Inventor   | Steffen GOLETZ et al. |
| Group Art Unit         | 1645                  |
| Examiner Name          | Unknown               |
| Attorney Docket Number | GULDE-0063            |

### NON PATENT LITERATURE DOCUMENTS

| Examiner<br>Initials * | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.   | T. <sup>2</sup> |
|------------------------|-----------------------|---|-----------------|
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Sheet 3 of 3

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| First Named Inventor   | Steffen GOLETZ et al. |
| Group Art Unit         | 1645                  |
| Examiner Name          | Unknown               |
| Attorney Docket Number | GULDE-0063            |

| NON PATENT LITERATURE DOCUMENTS (continued) |                       |   |                |
|---|-----------------------|---|----------------|
| Examiner<br>Initials *                      | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| /AMG/                                       | C12                   | LIAO, K. et al., "Design of transgenes for efficient expression of active chimeric proteins on mammalian cells," Biotechnol. Bioeng., 2000, vol. 73, pgs. 313-323.  |                |
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